Review: ThreadFork(): Create a New Thread

- ThreadFork() is a user-level procedure that creates a new thread and places it on ready queue
- Arguments to ThreadFork()
  - Pointer to application routine (fcnPtr)
  - Pointer to array of arguments (fcnArgPtr)
  - Size of stack to allocate
- Implementation
  - Sanity Check arguments
  - Enter Kernel-mode and Sanity Check arguments again
  - Allocate new Stack and TCB
  - Initialize TCB and place on ready list (Runnable).

Review: How does Thread get started?

- Eventually, run_new_thread() will select this TCB and return into beginning of ThreadRoot()
- This really starts the new thread

Review: What does ThreadRoot() look like?

- ThreadRoot() is the root for the thread routine:
  ```c
  ThreadRoot() {
      DoStartupHousekeeping();
      UserModeSwitch(); /* enter user mode */
      Call fcnPtr(fcnArgPtr);
      ThreadFinish();
  }
  
  Startup Housekeeping
  - Includes things like recording start time of thread
  - Other Statistics
  
  Stack will grow and shrink with execution of thread
  
  Final return from thread returns into ThreadRoot() which calls ThreadFinish()
  - ThreadFinish() wake up sleeping threads
  ```
Review: Correctness for systems with concurrent threads

- If dispatcher can schedule threads in any way, programs must work under all circumstances

  **Independent Threads:**
  - No state shared with other threads
  - Deterministic ⇒ Input state determines results
  - Reproducible ⇒ Can recreate Starting Conditions, I/O
  - Scheduling order doesn’t matter (if `switch()` works!!!)

  **Cooperating Threads:**
  - Shared State between multiple threads
  - Non-deterministic
  - Non-reproducible
  - Non-deterministic and Non-reproducible means that bugs can be intermittent
    - Sometimes called “Heisenbugs”

Goals for Today

- Concurrency examples
- Need for synchronization
- Examples of valid synchronization

Note: Some slides and/or pictures in the following are adapted from slides ©2005 Silberschatz, Galvin, and Gagne. Many slides generated from my lecture notes by Kubiatowicz.

Why allow cooperating threads?

- People cooperate; computers help/enhance people’s lives, so computers must cooperate
  - By analogy, the non-reproducibility/non-determinism of people is a notable problem for “carefully laid plans”
- Advantage 1: Share resources
  - One computer, many users
  - One bank balance, many ATMs
    » What if ATMs were only updated at night?
  - Embedded systems (robot control: coordinate arm & hand)
- Advantage 2: Speedup
  - Overlap I/O and computation
    » Many different file systems do read-ahead
  - Multiprocessors – chop up program into parallel pieces
- Advantage 3: Modularity
  - More important than you might think
  - Chop large problem up into simpler pieces
    » To compile, for instance, gcc calls `cpp | cc1 | cc2 | as | ld`
  - Makes system easier to extend

Threaded Web Server

- Multithreaded version:
  ```
  serverLoop() {
    connection = AcceptCon();
    ThreadFork(ServiceWebPage(), connection);
  }
  ```

- Advantages of threaded version:
  - Can share file caches kept in memory, results of CGI scripts, other things
  - Threads are much cheaper to create than processes, so this has a lower per-request overhead
- What if too many requests come in at once?
Thread Pools

- Problem with previous version: Unbounded Threads
  - When web-site becomes too popular - throughput sinks
- Instead, allocate a bounded “pool” of threads, representing the maximum level of multiprogramming

```java
master() {
    allocThreads(slave, queue);
    while (TRUE) {
        con = AcceptCon();
        Enqueue(queue, con);
        wakeUp(queue);
    }
}

slave(queue) {
    while (TRUE) {
        con = Dequeue(queue);
        if (con == null)
            sleepOn(queue);
        else
            ServiceWebPage(con);
    }
}
```

Administrivia

- Sections in this class are mandatory
  - Go to the section that you have been assigned
  - Some topics will only appear in section!
- System administrators need OpenSSH keys
  - Login to your class account ASAP.
  - You will be prompted to regenerate your ssh key
- Should be working on first project
  - Make sure to be reading Nachos code
  - First design document due next Monday! (One week)
  - Set up regular meeting times with your group
  - Let’s get group interaction problems solved early
- If you need to know more about synchronization primitives before I get to them use book!
  - Chapter 6 (in 7th edition) and Chapter 7 (in 6th edition) are all about synchronization

ATM Bank Server

- ATM server problem:
  - Service a set of requests
  - Do so without corrupting database
  - Don’t hand out too much money

```java
BankServer() {
    while (TRUE) {
        ReceiveRequest(&op, &acctId, &amount);
        ProcessRequest(op, acctId, amount);
    }
}

ProcessRequest(op, acctId, amount) {
    if (op == deposit) Deposit(acctId, amount);
    else if ...
}

Deposit(acctId, amount) {
    acct = GetAccount(acctId); /* may use disk I/O */
    acct->balance += amount;
    StoreAccount(acct); /* Involves disk I/O */
}
```

- How could we speed this up?
  - More than one request being processed at once
  - Event driven (overlap computation and I/O)
  - Multiple threads (multi-proc, or overlap comp and I/O)
Event Driven Version of ATM server

- Suppose we only had one CPU
  - Still like to overlap I/O with computation
  - Without threads, we would have to rewrite in event-driven style
- Example
  ```c
  BankServer() {
    while(TRUE) {
      event = WaitForNextEvent();
      if (event == ATMRequest) StartOnRequest();
      else if (event == AcctAvail) ContinueRequest();
      else if (event == AcctStored) FinishRequest();
    }
  }
  ```
- What if we missed a blocking I/O step?
- What if we have to split code into hundreds of pieces which could be blocking?
- This technique is used for graphical programming

Can Threads Make This Easier?

- Threads yield overlapped I/O and computation without “deconstructing” code into non-blocking fragments
  - One thread per request
- Requests proceeds to completion, blocking as required:
  ```c
  Deposit(acctId, amount) {
    acct = GetAccount(acctId); /* May use disk I/O */
    acct->balance += amount;
    StoreAccount(acct); /* Involves disk I/O */
  }
  ```
- Unfortunately, shared state can get corrupted:
  ```c
  Thread 1
  load r1, acct->balance
  add r1, amount1
  store r1, acct->balance
  
  Thread 2
  load r1, acct->balance
  add r1, amount2
  store r1, acct->balance
  ```

Review: Multiprocessing vs Multiprogramming

- What does it mean to run two threads “concurrently”?
  - Scheduler is free to run threads in any order and interleaving: FIFO, Random, ...
  - Dispatcher can choose to run each thread to completion or time-slice in big chunks or small chunks

Multiprocessing
```
A B C
```

Multiprogramming
```
A B C
```
- Also recall: Hyperthreading
  - Possible to interleave threads on a per-instruction basis
  - Keep this in mind for our examples (like multiprocessing)

Problem is at the lowest level

- Most of the time, threads are working on separate data, so scheduling doesn’t matter:
  - Thread A
    ```c
    x = 1;
    ```
  - Thread B
    ```c
    y = 2;
    ```
  - However, What about (Initially, y = 12):
    ```c
    Thread A
    x = 1;
    x = y+1;
    ```
    ```c
    Thread B
    y = 2;
    y = y*2;
    ```
    - What are the possible values of x?
    - Or, what are the possible values of x below?
      ```c
      Thread A
      x = 1;
      ```
      ```c
      Thread B
      x = 2;
      ```
      - X could be 1 or 2 (non-deterministic!)
      - Could even be 3 for serial processors:
        - Thread A writes 0001, B writes 0010.
        - Scheduling order ABABABBA yields 3!
Atomic Operations

- To understand a concurrent program, we need to know what the underlying indivisible operations are.
- **Atomic Operation**: an operation that always runs to completion or not at all
  - It is *indivisible*: it cannot be stopped in the middle and state cannot be modified by someone else in the middle
  - Fundamental building block - if no atomic operations, then have no way for threads to work together
- On most machines, memory references and assignments (i.e. loads and stores) of words are atomic
- Many instructions are not atomic
  - Double-precision floating point store often not atomic
  - VAX and IBM 360 had an instruction to copy a whole array

Correctness Requirements

- Threaded programs must work for all interleavings of thread instruction sequences
  - Cooperating threads inherently non-deterministic and non-reproducible
  - Really hard to debug unless carefully designed!
- Example: Therac-25
  - Machine for radiation therapy
    - Software control of electron accelerator and electron beam/Xray production
    - Software control of dosage
  - Software errors caused the death of several patients
    - A series of race conditions on shared variables and poor software design
    - "They determined that data entry speed during editing was the key factor in producing the error condition: If the prescription data was edited at a fast pace, the overdose occurred."

Space Shuttle Example

- Original Space Shuttle launch aborted 20 minutes before scheduled launch
- Shuttle has five computers:
  - Four run the "Primary Avionics Software System" (PASS)
    - Asynchronous and real-time
    - Runs all of the control systems
    - Results synchronized and compared every 3 to 4 ms
  - The Fifth computer is the "Backup Flight System" (BFS)
    - stays synchronized in case it is needed
    - Written by completely different team than PASS
- Countdown aborted because BFS disagreed with PASS
  - A 1/67 chance that PASS was out of sync one cycle
  - Bug due to modifications in initialization code of PASS
    - A delayed init request placed into timer queue
    - As a result, timer queue not empty at expected time to force use of hardware clock
  - Bug not found during extensive simulation

Another Concurrent Program Example

- Two threads, A and B, compete with each other
  - One tries to increment a shared counter
  - The other tries to decrement the counter
    - Thread A
      
      ```
      i = 0; 
      while (i < 10)
      i = i + 1; 
      printf("A wins!"); 
      ```
    
    - Thread B
      
      ```
      i = 0; 
      while (i > -10)
      i = i - 1; 
      printf("B wins!"); 
      ```
  - Assume that memory loads and stores are atomic, but incrementing and decrementing are not atomic
  - Who wins? Could be either
  - Is it guaranteed that someone wins? Why or why not?
  - What if both threads have their own CPU running at same speed? Is it guaranteed that it goes on forever?
Hand Simulation Multiprocessor Example

- Inner loop looks like this:
  - Thread A:
    - \( r_1 = 0 \) load \( r_1, M[i] \)
    - \( r_1 = 1 \) add \( r_1, r_1, 1 \)
    - \( M[i] = 1 \) store \( r_1, M[i] \)
  - Thread B:
    - \( r_1 = 0 \) load \( r_1, M[i] \)
    - \( r_1 = -1 \) sub \( r_1, r_1, 1 \)
    - \( M[i] = -1 \) store \( r_1, M[i] \)

- Hand Simulation:
  - And we're off. A gets off to an early start
  - B says "hmph, better go fast" and tries really hard
  - A goes ahead and writes "1"
  - B goes and writes "-1"
  - A says "HUH??? I could have sworn I put a 1 there"

- Could this happen on a uniprocessor?
  - Yes! Unlikely, but if you depending on it not happening, it will and your system will break…

Motivation: "Too much milk"

- Great thing about OS's - analogy between problems in OS and problems in real life
  - Help you understand real life problems better
  - But, computers are much stupider than people

- Example: People need to coordinate:

<table>
<thead>
<tr>
<th>Time</th>
<th>Person A</th>
<th>Person B</th>
</tr>
</thead>
<tbody>
<tr>
<td>3:00</td>
<td>Look in Fridge. Out of milk</td>
<td></td>
</tr>
<tr>
<td>3:05</td>
<td>Leave for store</td>
<td></td>
</tr>
<tr>
<td>3:10</td>
<td>Arrive at store</td>
<td>Look in Fridge. Out of milk</td>
</tr>
<tr>
<td>3:15</td>
<td>Buy milk</td>
<td>Leave for store</td>
</tr>
<tr>
<td>3:20</td>
<td>Arrive home, put milk away</td>
<td>Arrive at store</td>
</tr>
<tr>
<td>3:25</td>
<td></td>
<td>Buy milk</td>
</tr>
<tr>
<td>3:30</td>
<td></td>
<td>Arrive home, put milk away</td>
</tr>
</tbody>
</table>

Definitions

- **Synchronization**: using atomic operations to ensure cooperation between threads
  - For now, only loads and stores are atomic
  - We are going to show that its hard to build anything useful with only reads and writes
- **Mutual Exclusion**: ensuring that only one thread does a particular thing at a time
  - One thread excludes the other while doing its task
- **Critical Section**: piece of code that only one thread can execute at once. Only one thread at a time will get into this section of code.
  - Critical section is the result of mutual exclusion
  - Critical section and mutual exclusion are two ways of describing the same thing.

More Definitions

- **Lock**: prevents someone from doing something
  - Lock before entering critical section and before accessing shared data
  - Unlock when leaving, after accessing shared data
  - Wait if locked
    - Important idea: all synchronization involves waiting
- For example: fix the milk problem by putting a key on the refrigerator
  - Lock it and take key if you are going to go buy milk
  - Fixes too much: roommate angry if only wants OJ
  - Of Course - We don't know how to make a lock yet
Too Much Milk: Correctness Properties

- Need to be careful about correctness of concurrent programs, since non-deterministic
  - Always write down behavior first
  - Impulse is to start coding first, then when it doesn’t work, pull hair out
  - Instead, think first, then code
- What are the correctness properties for the “Too much milk” problem???
  - Never more than one person buys
  - Someone buys if needed
- Restrict ourselves to use only atomic load and store operations as building blocks

Too Much Milk: Solution #1

- Use a note to avoid buying too much milk:
  - Leave a note before buying (kind of “lock”)
  - Remove note after buying (kind of “unlock”)
  - Don’t buy if note (wait)
- Suppose a computer tries this (remember, only memory read/write are atomic):
  
  ```
  if (noMilk) {
    if (noNote) {
      leave Note;
      buy milk;
      remove note;
    }
  }
  ```

- Result?
  - Still too much milk but only occasionally!
  - Thread can get context switched after checking milk and note but before buying milk!
- Solution makes problem worse since fails intermittently
  - Makes it really hard to debug…
  - Must work despite what the dispatcher does!

Too Much Milk: Solution #1½

- Clearly the Note is not quite blocking enough
  - Let’s try to fix this by placing note first
- Another try at previous solution:

  ```
  leave Note;
  if (noMilk) {
    if (noNote) {
      leave Note;
      buy milk;
    }
  }
  remove note;
  ```

- What happens here?
  - Well, with human, probably nothing bad
  - With computer: no one ever buys milk

Too Much Milk Solution #2

- How about labeled notes?
  - Now we can leave note before checking
- Algorithm looks like this:

  ```
  Thread A
  leave note A;
  if (noNote B) {
    if (noMilk) {
      buy Milk;
    }
  }
  remove note A;

  Thread B
  leave note B;
  if (noNote A) {
    if (noMilk) {
      buy Milk;
    }
  }
  remove note B;
  ```

- Does this work?
- Possible for neither thread to buy milk
  - Context switches at exactly the wrong times can lead each to think that the other is going to buy
- Really insidious:
  - Extremely unlikely that this would happen, but will at worse possible time
  - Probably something like this in UNIX

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Too Much Milk Solution #2: problem!

• I'm not getting milk, You're getting milk
• This kind of lockup is called “starvation!”

Solution #3 discussion

• Our solution protects a single “Critical-Section” piece of code for each thread:
  ```java
  if (noMilk) {
    buy milk;
  }
  ```

• Solution #3 works, but it’s really unsatisfactory
  - Really complex – even for this simple an example
    » Hard to convince yourself that this really works
  - A’s code is different from B’s – what if lots of threads?
    » Code would have to be slightly different for each thread
  - While A is waiting, it is consuming CPU time
    » This is called “busy-waiting”

• There’s a better way
  - Have hardware provide better (higher-level) primitives
    than atomic load and store
  - Build even higher-level programming abstractions on this new hardware support

Too Much Milk Solution #3

• Here is a possible two-note solution:

  Thread A                      Thread B
  leave note A;                leave note B;  
  while (note B) { //X          if (noNote A) { //Y
    do nothing;                if (noMilk) {
    }                          buy milk;
  if (noMilk) {
    buy milk;
  }                          remove note B;
  remove note A;              }

• Does this work? Yes. Both can guarantee that:
  - It is safe to buy, or
  - Other will buy, ok to quit

• At X:
  - if no note B, safe for A to buy,
  - otherwise wait to find out what will happen

• At Y:
  - if no note A, safe for B to buy
  - Otherwise, A is either buying or waiting for B to quit

Too Much Milk: Solution #4

• Suppose we have some sort of implementation of a lock (more in a moment).
  - Lock.Acquire() – wait until lock is free, then grab
  - Lock.Release() – Unlock, waking up anyone waiting

  - These must be atomic operations – if two threads are waiting for the lock and both see it’s free, only one succeeds to grab the lock

• Then, our milk problem is easy:
  ```java
  milklock.Acquire();
  if (nomilk) {
    buy milk;
  }
  milklock.Release();
  ```

• Once again, section of code between Acquire() and Release() called a “Critical Section”

• Of course, you can make this even simpler: suppose you are out of ice cream instead of milk
  - Skip the test since you always need more ice cream.
Where are we going with synchronization?

- We are going to implement various higher-level synchronization primitives using atomic operations
  - Everything is pretty painful if only atomic primitives are load and store
  - Need to provide primitives useful at user-level

Summary

- Concurrent threads are a very useful abstraction
  - Allow transparent overlapping of computation and I/O
  - Allow use of parallel processing when available
- Concurrent threads introduce problems when accessing shared data
  - Programs must be insensitive to arbitrary interleavings
  - Without careful design, shared variables can become completely inconsistent
- Important concept: Atomic Operations
  - An operation that runs to completion or not at all
  - These are the primitives on which to construct various synchronization primitives
- Showed how to protect a critical section with only atomic load and store ⇒ pretty complex!