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CS61C : Machine Structures

Lecture 7 – More Memory Management

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Lecturer PSOE Dan Garcia

www.cs.berkeley.edu/~ddgarcia

$100 PC for the rest of us ⇒

Nicholas Negroponte wants to build a $100 PC with a 14" screen, AMD CPU to run Linux for sale in developing countries. Only 10^6 orders considered! The goal is to develop educational SW for it.

www.redherring.com/Article.aspx?a=11203

Review

- C has 3 pools of memory
  - Static storage: global variable storage, basically permanent, entire program run
  - The Stack: local variable storage, parameters, return address
  - The Heap (dynamic storage): `malloc()` grabs space from here, `free()` returns it. Nothing to do with heap data structure!
- `malloc()` handles free space with freelist. Three different ways:
  - First fit (find first one that's free)
  - Next fit (same as first, start where ended)
  - Best fit (finds most "snug" free space)
- One problem with all three is small fragments!

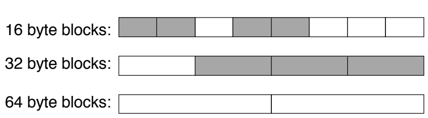
Slab Allocator

- A different approach to memory management (used in GNU `libc`)
- Divide blocks in to "large" and "small" by picking an arbitrary threshold size. Blocks larger than this threshold are managed with a freelist (as before).
- For small blocks, allocate blocks in sizes that are powers of 2
  - e.g., if program wants to allocate 20 bytes, actually give it 32 bytes

Slab Allocator

- Bookkeeping for small blocks is relatively easy: just use a *bitmap* for each range of blocks of the same size
- Allocating is easy and fast: compute the size of the block to allocate and find a free bit in the corresponding bitmap.
- Freeing is also easy and fast: figure out which slab the address belongs to and clear the corresponding bit.

Slab Allocator



16 byte block bitmap: 11011000

32 byte block bitmap: 0111

64 byte block bitmap: 00

Slab Allocator Tradeoffs

- Extremely fast for small blocks.
- Slower for large blocks
  - But presumably the program will take more time to do something with a large block so the overhead is not as critical.
- Minimal space overhead
- No fragmentation (as we defined it before) for small blocks, but still have wasted space!

Internal vs. External Fragmentation

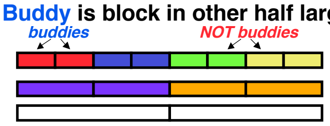
- With the slab allocator, difference between requested size and next power of 2 is wasted
  - e.g., if program wants to allocate 20 bytes and we give it a 32 byte block, 12 bytes are unused.
- We also refer to this as fragmentation, but call it *internal* fragmentation since the wasted space is actually within an allocated block.
- External fragmentation: wasted space between allocated blocks.

Buddy System

- Yet another memory management technique (used in Linux kernel)
- Like GNU's "slab allocator", but only allocate blocks in sizes that are powers of 2 (internal fragmentation is possible)
- Keep separate free lists for each size
  - e.g., separate free lists for 16 byte, 32 byte, 64 byte blocks, etc.

Buddy System

- If no free block of size `n` is available, find a block of size `2n` and split it in to two blocks of size `n`
- When a block of size `n` is freed, if its neighbor of size `n` is also free, combine the blocks in to a single block of size `2n`
  - Buddy is block in other half larger block



- Same speed advantages as slab allocator

Allocation Schemes

- So which memory management scheme (K&R, slab, buddy) is best?
  - There is no single best approach for every application.
  - Different applications have different allocation / deallocation patterns.
  - A scheme that works well for one application may work poorly for another application.

Administrivia

- Any administrivia?

Automatic Memory Management

- Dynamically allocated memory is difficult to track – why not track it automatically?
- If we can keep track of what memory is in use, we can reclaim everything else.
  - Unreachable memory is called *garbage*, the process of reclaiming it is called *garbage collection*.
- So how do we track what is in use?

Tracking Memory Usage

- Techniques depend heavily on the programming language and rely on help from the compiler.
- Start with all pointers in global variables and local variables (root set).
- Recursively examine dynamically allocated objects we see a pointer to.
  - We can do this in constant space by reversing the pointers on the way down
- How do we recursively find pointers in dynamically allocated memory?

Tracking Memory Usage

- Again, it depends heavily on the programming language and compiler.
- Could have only a single type of dynamically allocated object in memory
  - E.g., simple Lisp/Scheme system with only `cons` cells (61A's Scheme not "simple")
- Could use a *strongly typed* language (e.g., Java)
  - Don't allow conversion (casting) between arbitrary types.
  - C/C++ are not strongly typed.
- Here are 3 schemes to collect garbage

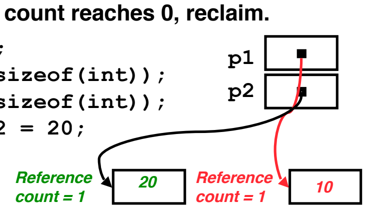
Scheme 1: Reference Counting

- For every chunk of dynamically allocated memory, keep a count of number of pointers that point to it.
- When the count reaches 0, reclaim.
- Simple assignment statements can result in a lot of work, since may update reference counts of many items

Reference Counting Example

- For every chunk of dynamically allocated memory, keep a count of number of pointers that point to it.
  - When the count reaches 0, reclaim.

```
int *p1, *p2;
p1 = malloc(sizeof(int));
p2 = malloc(sizeof(int));
*p1 = 10; *p2 = 20;
```



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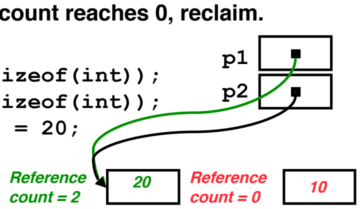


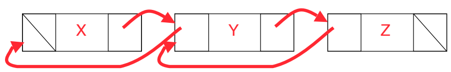
Reference Counting (`p1`, `p2` are pointers)

`p1 = p2;`

- Increment reference count for `p2`
- If `p1` held a valid value, decrement its reference count
- If the reference count for `p1` is now 0, reclaim the storage it points to.
  - If the storage pointed to by `p1` held other pointers, decrement all of their reference counts, and so on…
- Must also decrement reference count when local variables cease to exist.

Reference Counting Flaws

- Extra overhead added to assignments, as well as ending a block of code.
- Does not work for circular structures!
  - E.g., doubly linked list:



Scheme 2: Mark and Sweep Garbage Col.

- Keep allocating new memory until memory is exhausted, then try to find unused memory.
- Consider objects in heap a graph, chunks of memory (objects) are graph nodes, pointers to memory are graph edges.
  - Edge from A to B => A stores pointer to B
- Can start with the root set, perform a graph traversal, find all usable memory!
- 2 Phases: (1) Mark used nodes;(2) Sweep free ones, returning list of free nodes

Mark and Sweep

- Graph traversal is relatively easy to implement recursively

```
void traverse(struct graph_node *node) {
    /* visit this node */
    foreach child in node->children {
        traverse(child);
    }
}
```

- But with recursion, state is stored on the execution stack.
  - Garbage collection is invoked when not much memory left
- As before, we could traverse in constant space (by reversing pointers)

Scheme 3: Copying Garbage Collection

- Divide memory into two spaces, only one in use at any time.
- When active space is exhausted, traverse the active space, copying all objects to the other space, then make the new space active and continue.
  - Only reachable objects are copied!
- Use “forwarding pointers” to keep consistency
  - Simple solution to avoiding having to have a table of old and new addresses, and to mark objects already copied (see bonus slides)

Peer Instruction

A. Of {K&R, Slab, Buddy}, there is no best (it depends on the problem).

B. Since automatic garbage collection can occur any time, it is more difficult to measure the execution time of a Java program vs. a C program.

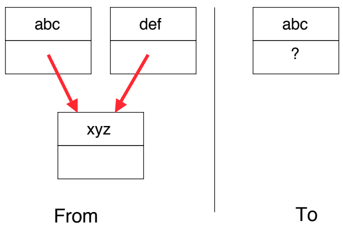
C. We don’t have automatic garbage collection in C because of efficiency.

	ABC
1:	FFF
2:	FFT
3:	FTF
4:	FTT
5:	TFF
6:	TFT
7:	TTF
8:	TTT

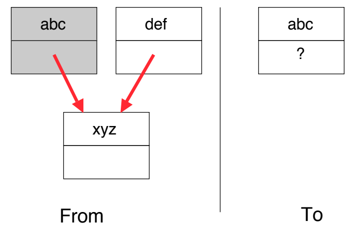
“And in Conclusion…”

- Several techniques for managing heap via malloc and free: best-, first-, next-fit
  - 2 types of memory fragmentation: internal & external; all suffer from some kind of frag.
  - Each technique has strengths and weaknesses, none is definitively best
- Automatic memory management relieves programmer from managing memory.
  - All require help from language and compiler
  - Reference Count: not for circular structures
  - Mark and Sweep: complicated and slow, works
  - Copying: Divides memory to copy good stuff

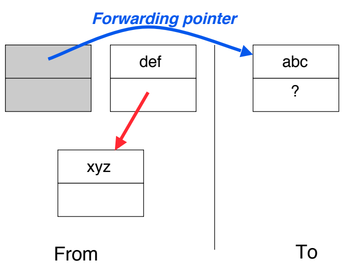
Forwarding Pointers: 1st copy “abc”



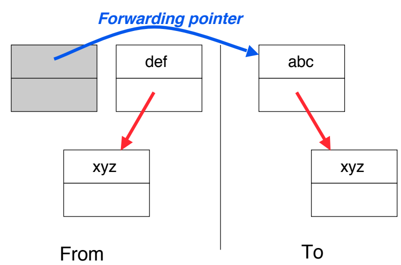
Forwarding Pointers: leave ptr to new abc



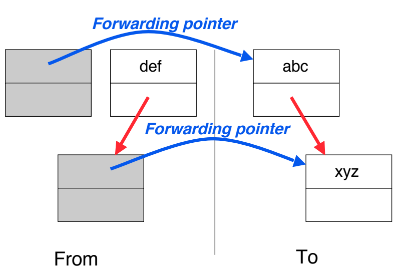
Forwarding Pointers : now copy “xyz”



Forwarding Pointers: leave ptr to new xyz

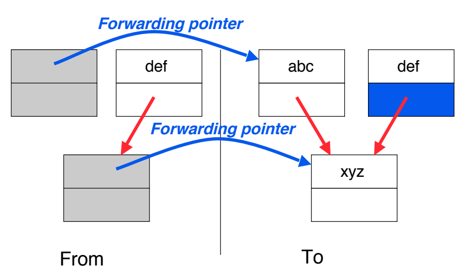


Forwarding Pointers: now copy “def”



Since xyz was already copied, def uses xyz’s forwarding pointer to find its new location

Forwarding Pointers



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